Towards Sustainable Cooperative and Non-APC OA Publishing Models

OpenAIRE Recommendations

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Best Practice Guide for Cooperative Models of OA Publishing

With input from the publishing and library community at “Sustainable non-APC OA Publishing Models” workshop – presentations and SWOT analysis

Showcases and reviews selected non-APC and collaborative publication models

Suggests a set of recommendations aimed at research funders, policymakers and the publishing community
Towards Scholarly Commons

Moving towards more **sustainable and diverse** OA publishing future, where **collaborative and non-APC** publishing could **represent a viable, cost and resource effective alternative** to APC-based business models for OA publishing.
Collaborative Non-APC Publishing Models Review

Library publishing programs (Uopen Journals; The University of Debrecen University & National Library; Sui Generis)

Institutional support & third-party funding (Open Gender Journal, META “Middle East - Topics & Arguments”, ZBW IREE journal dedicated to the replication studies in economics)

National collaborative funding & publishing (HRČAK, Croatia; Journal.fi, Finland; Episciences.org, France; The Consortium for Norwegian SSH OA Journals; SCIndeks, Serbia)
The authenticated collection of authors ORCID IDs is implemented on the HRČAK portal. The HRČAK portal will send emails to authors that will guide them through the process of linking their paper to their ORCID profile (more details).

HRČAK is the central portal of Croatian scientific journals. HRČAK offers the access to the journals following the Open Access Initiative (more about HRČAK in Croatian only).

About HRČAK (in Croatian only):
- for end users
- HRČAK's Advisory Board.

HRČAK stats:
- Journals: 492
- Published issues: 17,576
- Published articles: 225,606
- Published articles with full text: 221,301

10 latest issues online published on HRČAK
1. Nafta Plin, 82, (16), 2020
5. Knjižničarstvo : glasnik Društva knjižničara Slavonije, Baranja i Srijema, 21(1-2), 2017
Overlay Journal Platform

Episciences.org is an innovative combination of the two routes of free access: the gold route by hosting journals in open access (overlay Journals) and the green route where articles are submitted to these journals by depositing them in an open archive.

The editorial boards of such ejournals organize peer reviewing and scientific discussion of selected or submitted preprints. Epijournals can thus be considered as "overlay journals" built above the open archives; they add value to these archives by attaching a scientific caution to the validated papers.

Open access; free to read; free to publish.

There is no charge to access articles published in journals hosted by the Episciences.org.

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JOURNALS

Informatics and applied mathematics,
DMTCS Discrete Mathematics & Theoretical Computer Science
JODIN Journal of Data Mining and Digital Humanities
IJIFS Journal of Interactive Informatics Magazine
JMLC Journal of Machine Learning and Computational Intelligence
JCLL Journal of Computer Science
Revue de l'AIRIMA
Mathematics
Esage: Études en Géométrie Algébrique
Hardy-Ramanujan Journal

Human sciences
ARCHIS - Analyse des réseaux pour les sciences sociales / Network analysis for social sciences
JIMIES Journal of Interdisciplinary Methodologies and Issues in Science
Bloso Sociétés plurielles
Norwegian open journals in the social sciences and humanities

A consortium for Norwegian SSH OA journals

The Unit consortium is administering a new model for transformation from subscription based journals to open access journals in Norwegian in the social sciences and humanities. A key element is that publishing in these journals should not require payment of an APC.

This model runs for a three-year period from 2018.

The consortium has firm commitment from the Norwegian Ministry of Education and Research. Unit administers this consortium model with support from the Norwegian Research Council and The Norwegian Association of Higher Education Institutions.

Background

A selection of Norwegian SSH journals has been granted support from the Norwegian Research Council for decades, initially to support print editions of traditional journals. These journals have in many cases been owned by academic presses and published in print by academic
SCIndeks is the central hub of the integrated system of quality-controlled scientific publishing in Serbia: The joint venture of CEON/CEES and indexed journal publishers

FUNCTIONS & FEATURES

Availability  Visibility  Searchability  Normalization  Quality Control  Legitimacy  Promotion  Monitoring  Evaluation

REPOSITORIES  DATABASES

DOAJ  WoS/SCI

OpenAire  Scopus

NaRDUS  MEDLINE

VLS  Scholar

SCIndeks ENTITIES

JOURNALS  EDITORS  REVIEWERS

AUTHORS  PAPERS  CITATIONS

PROJECTS  INSTITUTIONS  FUNDS

In SCIndeks today

journals indexed: 267
papers indexed: 104,436
total references: 1,598,268
visits in last 24 hrs: 57,535
full text downloads in 24 hrs: 18,944

https://scindeks.ceon.rs
Collaborative Non-APC Publishing Models Review (2)

International collaborative funding and publishing (Open Library of Humanities, Annual Reviews, Knowledge Unlatched, SCOAP³, Language Science Press, transcript OPEN Library Political Science)

Collaborative publication support services (OJS-de.net, CeDiS (Center for Digital Systems), Freie Universität Berlin)
What can be considered sustainable?

Financial aspects such as funding, establishing a balance of costs vs earnings and cash flow.

Human and technical resources.

Time is also a factor in assessing sustainability, which is often itself dependent on other factors and aspects. E.g. what is a suitable time window?
Sustainability for whom?

In order to be truly sustainable all sides need to be balanced. The supply side needs to cover costs and be able to keep up with new demands and developments. The demand side needs affordable and reliable services. And all stakeholders need a stable, scalable, dependable and well-functioning market that enables high quality and efficient dissemination of research and scientific results.
Sustainability

Current issues facing users, new and emerging publishing initiatives, institutions, funders and policy makers

• Invisibility of non-APC business models; library subsidy models remain largely unknown to researchers
• Limitation of grant funding; lack of leverage power
• Institutional limitations
Suggestions to mitigate these issues

Marketplace for non-APC OA publishing initiatives describing the scale, disciplinary reach, whether it's a new initiative or continuation of an existing one, technology used, financial aspects and governance.

Shared and permanent infrastructural support as cost-effective solution.

Knowledge sharing and shared financial, legal, marketing services, pooling resources.
What’s vital?

Institutions - government, funders, universities for financial and technical support.

Community - social cohesion and academic support.

Reputable publishing standards and practices.

Cooperation and pooling of resources - better visibility, scalability, improved financials. Shared resources and information.

Simplified funding streams - for institutions to provide financial support (memberships/donations/etc.)
Funding - Endorsement and awareness

Funders should acknowledge and endorse collaborative and non-APC OA publishing as a valid and equivalent road to OA.

This includes making researchers aware of the option of non-APC publication channels in policies and mandates, as non-APC initiatives are still a relatively invisible alternative.
Opportunities and recommendations

More transparency: Clarification of offered publication services and the structure of the publishing initiatives to inform the community and funders.

Use of trusted bodies to evaluate existing and new publication channels to assist researchers, research funders & research performing institutions navigate a constantly growing & evolving publishing market.
Recommendations

Institutions and funders can aid in the sustainability of collaborative and non-APC publishing by providing more stability through medium- and long-term funding. Inclusiveness is key.

For reasons of efficiency and innovation, it is imperative that the scientific publication system remains in the hands of the scientific community, supported by learned societies and libraries.
Exploring collaborative non-commercial publishing models for Open Access: tender results

08/06/2020

cOAlition S received a total of 11 proposals for the tender for a study to explore collaborative non-commercial Open Access publishing models for Open Access (a.k.a Diamond OA) published in March 2020. We are pleased to announce that the tender was awarded to a consortium coordinated by OPERAS, including Sparc Europe, Utrecht University, DOAJ, UIT The Arctic University of Norway as partners, and LIBER, DASPA, ENRESSH, Redalyc-AmeliCA and CSI as associate partners.

The study will be delivered by the end of 2020, and regular public updates on progress are planned along the way. The study is financially supported by Science Europe.

https://www.coalition-s.org/exploring-collaborative-non-commercial-publishing-models-for-open-access-tender-results/
Quality - Sound publication practices

Indexing, support and approval of non-APC publication channels by trusted bodies and research evaluation organizations to increase trust and visibility and to make it easier to identify and select quality journals. Ideally this should be done by a standardized and transparent process, according to a set of universal criteria for healthy editorial practices and robust peer-review processes.

New approaches to research assessment/evaluation.
Strengthen partnership and community

Collaborations, partnerships, community ownership and governance - tools and opportunities to determine a clear path towards a sustainable future and give smaller OA publishers more leveraging power with funders, institutions and policy makers.
Shared infrastructure & services

Joint financial, marketing, legal services to lower the strain on, often, limited resources and alleviate the need for each publisher or initiative to cover the wide range of expertise needed in-house.

Peer support and learning.

Innovations - enrichment of publications, an incubator model, FOSS, joint IT/technical support, compatibility and interoperability with other platforms and systems.
Strengthen partnership and community (2)

Shared community-based voice to increase the impact both in the academic world and with funders. Collective advocacy to policy makers and decision makers.

Need for a platform to share and discuss best practices, ideas and expertise within the collaborative and non-APC publishing community.

Best Practice guide to non-APC and collaborative publishing.
References

OpenAIRE report Towards Sustainable Cooperative and Non-APC Publishing Model: D6.2 – Best Practice Guide for Co-Operative Models of Publishing
https://doi.org/10.5281/zenodo.3701428

OpenAIRE workshop https://www.openaire.eu/sustainable-non-apc-publishing-models-workshop
https://www.openaire.eu/blogs/sustainable-non-apc-oa-workshop

How can we sustain OA publishing in a cooperative way?
OpenAIRE presentation at Open-Access-Tage 2019 (in German)
https://doi.org/10.5281/zenodo.3490746
Thank you!
Questions?
Discussion!

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