

Towards Sustainable Cooperative and Non-APC OA Publishing Models

OpenAIRE Recommendations

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Best Practice Guide for Cooperative Models of OA Publishing

With input from the publishing and library community at “Sustainable non-APC OA Publishing Models” workshop – presentations and SWOT analysis

Showcases and reviews selected non-APC and collaborative publication models

Suggests a set of recommendations aimed at research funders, policymakers and the publishing community

Towards Scholarly Commons

Moving towards more **sustainable and diverse OA publishing future**, where **collaborative and non-APC publishing** could **represent a viable, cost and resource effective alternative** to APC-based business models for OA publishing

Collaborative Non-APC Publishing Models Review

Library publishing programs (Uopen Journals; The University of Debrecen University & National Library; Sui Generis)

Institutional support & third-party funding (Open Gender Journal, META “Middle East - Topics & Arguments”, ZBW IREE journal dedicated to the replication studies in economics)

National collaborative funding & publishing (HRČAK, Croatia; Journal.fi, Finland; Episciences.org, France; The Consortium for Norwegian SSH OA Journals; SCIndeks, Serbia)



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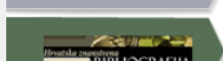
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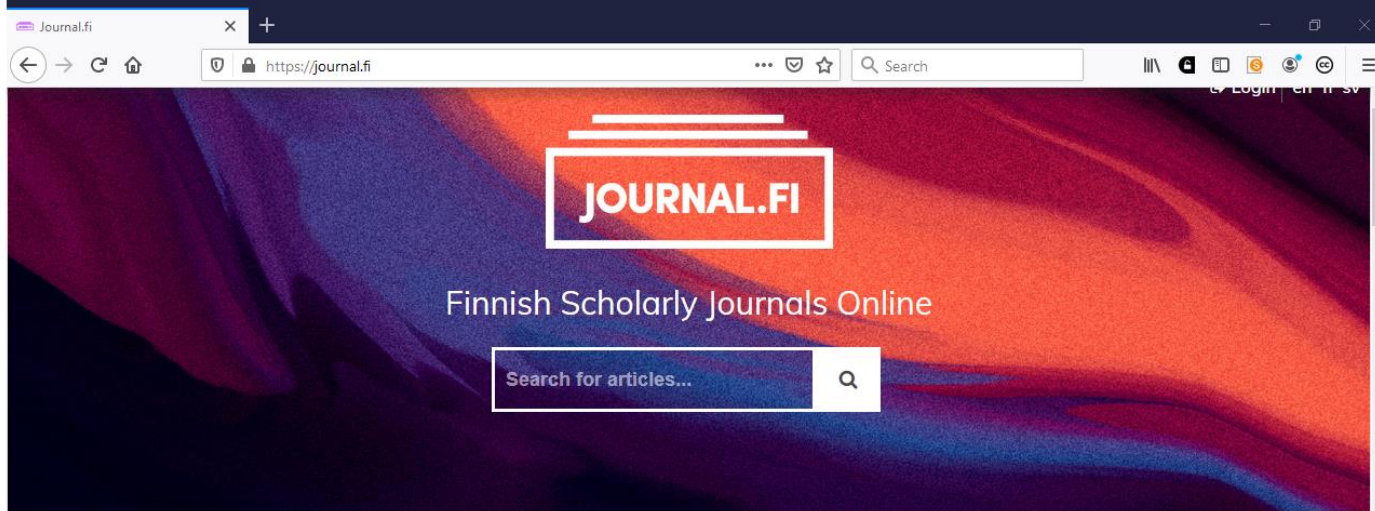
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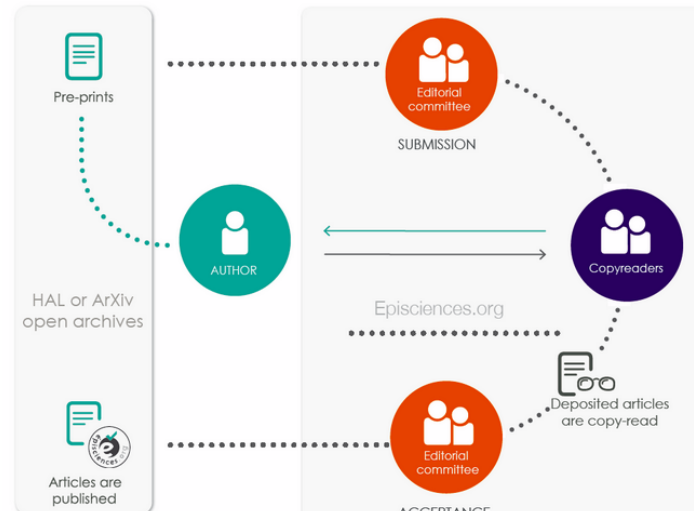
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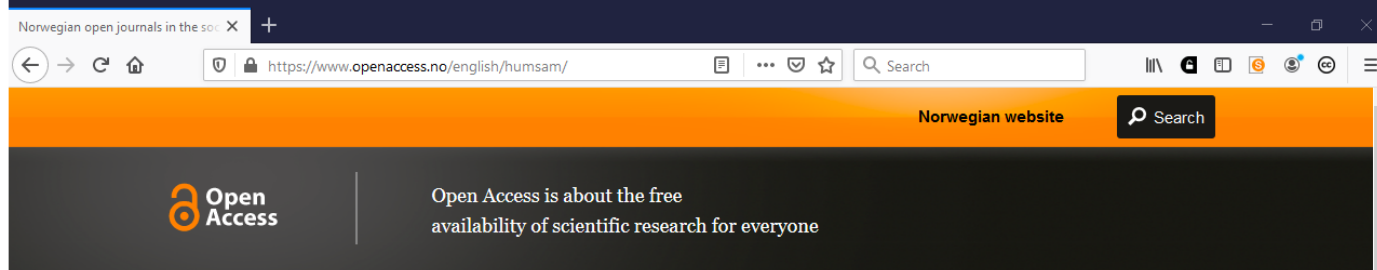


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Norwegian open journals in the social sciences and humanities

A consortium for Norwegian SSH OA journals

The Unit consortium is administering a new model for transformation from subscription based journals to open access journals in Norwegian in the social sciences and humanities. A key element is that publishing in these journals should not require payment of an APC.

This model runs for a three-year period from 2018.

The consortium has firm commitment from the Norwegian Ministry of Education and Research. Unit administers this consortium model with support from the Norwegian Research Council and The Norwegian Association of Higher Education Institutions.

Background

A selection of Norwegian SSH journals has been granted support from the Norwegian Research Council for decades, initially to support print editions of traditional journals. These journals have in many cases been owned by academic societies and published in print by academic



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Collaborative Non-APC Publishing Models Review (2)

International collaborative funding and publishing (Open Library of Humanities, Annual Reviews, Knowledge Unlatched, SCOAP³, Language Science Press, transcript OPEN Library Political Science)

Collaborative publication support services (OJS-de.net, CeDiS (Center for Digital Systems), Freie Universität Berlin)

What can be considered sustainable?

Financial aspects such as funding, establishing a balance of costs vs earnings and cash flow.

Human and technical resources.

Time is also a factor in assessing sustainability, which is often itself dependent on other factors and aspects. E.g. what is a suitable time window?

Sustainability for whom?

In order to be truly sustainable all sides need to be balanced. The supply side needs to cover costs and be able to keep up with new demands and developments. The demand side needs affordable and reliable services. And all stakeholders need a stable, scalable, dependable and well-functioning market that enables high quality and efficient dissemination of research and scientific results.

Sustainability

Current issues facing users, new and emerging publishing initiatives, institutions, funders and policy makers

- Invisibility of non-APC business models; library subsidy models remain largely unknown to researchers
- Limitation of grant funding; lack of leverage power
- Institutional limitations

Suggestions to mitigate these issues

Marketplace for non-APC OA publishing initiatives describing the scale, disciplinary reach, whether it's a new initiative or continuation of an existing one, technology used, financial aspects and governance.

Shared and permanent infrastructural support as cost-effective solution.

Knowledge sharing and shared financial, legal, marketing services, pooling resources.

What's vital?

Institutions - government, funders, universities for financial and technical support.

Community - social cohesion and academic support.

Reputable publishing standards and practices.

Cooperation and pooling of resources - better visibility, scalability, improved financials. Shared resources and information.

Simplified funding streams - for institutions to provide financial support (memberships/donations/etc.)

Funding - Endorsement and awareness

Funders should acknowledge and endorse collaborative and non-APC OA publishing as a valid and equivalent road to OA.

This includes making researchers aware of the option of non-APC publication channels in policies and mandates, as non-APC initiatives are still a relatively invisible alternative.

Opportunities and recommendations

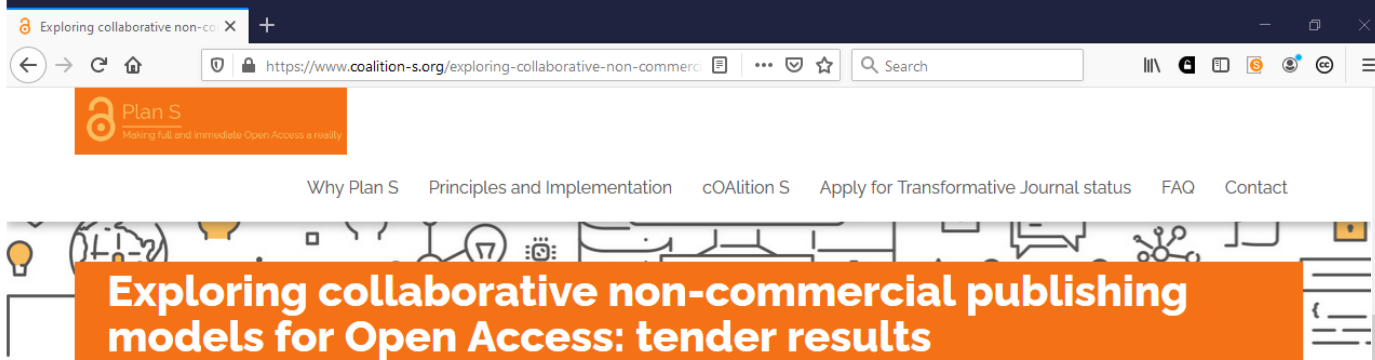
More transparency: Clarification of offered publication services and the structure of the publishing initiatives to inform the community and funders.

Use of trusted bodies to evaluate existing and new publication channels to assist researchers, research funders & research performing institutions navigate a constantly growing & evolving publishing market.

Recommendations

Institutions and funders can aid in the sustainability of collaborative and non-APC publishing by providing more stability through medium- and long-term funding. Inclusiveness is key.

For reasons of efficiency and innovation, it is imperative that the scientific publication system remains in the hands of the scientific community, supported by learned societies and libraries.



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Exploring collaborative non-commercial publishing models for Open Access: tender results

09/06/2020

cOAlition S received a total of 11 proposals for the [tender for a study to explore collaborative non-commercial Open Access publishing models for Open Access](#) (a.k.a Diamond OA) published in March 2020. We are pleased to announce that the tender was awarded to a consortium coordinated by [OPERAS](#), including [Sparc Europe](#), [Utrecht University](#), [DOAJ](#), [UiT The Arctic University of Norway](#) as partners, and [LIBER](#), [OASPA](#), [ENRESSH](#), [Redalyc-AmeliCA](#) and [CSI](#) as associate partners.

The study will be delivered by the end of 2020, and regular public updates on progress are planned along the way. The study is financially supported by [Science Europe](#).

<https://www.coalition-s.org/exploring-collaborative-non-commercial-publishing-models-for-open-access-tender-results/>

Quality - Sound publication practices

Indexing, support and approval of non-APC publication channels by trusted bodies and research evaluation organizations to increase trust and visibility and to make it easier to identify and select quality journals. Ideally this should be done by a standardized and transparent process, according to a set of universal criteria for healthy editorial practices and robust peer-review processes.

New approaches to research assessment/evaluation.

Strengthen partnership and community

Collaborations, partnerships, community ownership and governance - tools and opportunities to determine a clear path towards a sustainable future and give smaller OA publishers more leveraging power with funders, institutions and policy makers.

Shared infrastructure & services

Joint financial, marketing, legal services to lower the strain on, often, limited resources and alleviate the need for each publisher or initiative to cover the wide range of expertise needed in-house.

Peer support and learning.

Innovations - enrichment of publications, an incubator model, FOSS, joint IT/technical support, compatibility and interoperability with other platforms and systems.

Strengthen partnership and community (2)

Shared community-based voice to increase the impact both in the academic world and with funders.
Collective advocacy to policy makers and decision makers.

Need for a platform to share and discuss best practices, ideas and expertise within the collaborative and non-APC publishing community.

Best Practice guide to non-APC and collaborative publishing.

References

OpenAIRE report Towards Sustainable Cooperative and Non-APC Publishing Model: D6.2 – Best Practice Guide for Co-Operative Models of Publishing

<https://doi.org/10.5281/zenodo.3701428>

OpenAIRE workshop <https://www.openaire.eu/sustainable-non-apc-publishing-models-workshop>

<https://www.openaire.eu/blogs/sustainable-non-apc-oa-workshop>

How can we sustain OA publishing in a cooperative way?
OpenAIRE presentation at Open-Access-Tage 2019 (in German)

<https://doi.org/10.5281/zenodo.3490746>

Thank you!
Questions?
Discussion!

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